

# Agenda



**AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at County Hall, Hertford on FRIDAY, 19 JULY 2019 at 2:00PM**

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## **MEMBERS OF THE COMMITTEE (10) (Quorum 3)**

S N Bloxham, F Button (*Chairman*), J M Graham (*Vice-Chairman*), N A Hollinghurst, I M Reay, S J Taylor, P Williams, T J Williams, W J Wyatt-Lowe, J F Wyllie

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

**Members are reminded that all equalities implications and equalities impact assessments undertaken in relation to any matter on this agenda must be rigorously considered prior to any decision being reached on that matter.**

**Members are reminded that:**

- (1) if they consider that they have a Disclosable Pecuniary Interest in any matter to be considered at the meeting they must declare that interest and must not participate in or vote on that matter unless a dispensation has been granted by the Standards Committee;**
- (2) if they consider that they have a Declarable Interest (as defined in paragraph 5.3 of the Code of Conduct for Members) in any matter to be considered at the meeting they must declare the existence and nature of that interest. If a member has a Declarable Interest they should consider whether they should participate in consideration of the matter and vote on it.**

## **PART I (PUBLIC) AGENDA**

### **1. MINUTES**

To confirm the minutes of the meeting held on 8 May 2019.

### **2. HERTFORDSHIRE COUNTY COUNCIL – DRAFT AUDIT RESULTS REPORT**

*Report of Ernst & Young LLP*

### **3. AUDIT RESULTS REPORT: ACCOUNTS 2018/19**

*Report of the Director of Resources*

### **4. PENSION FUND AUDIT RESULTS REPORT**

*Report of Ernst & Young LLP*

### **5. RESPONSE TO THE AUDIT RESULTS REPORT 2018/19 – PENSION FUND**

*Report of the Director of Resources*

### **6. REPORT ON DELIVERY OF THE HERTFORDSHIRE COUNTY COUNCIL ANTI-FRAUD PLAN 2018/19**

*Report of the Director of Resources*

### **7. SHARED INTERNAL AUDIT SERVICE ANNUAL REPORT 2018/19**

*Report of the Director of Resources*

### **8. HERTFORDSHIRE COUNTY COUNCIL – SHARED INTERNAL AUDIT SERVICE INTERNAL AUDIT PROGRESS REPORT**

*Report of the Director of Resources*

### **9. RISK MANAGEMENT UPDATE**

*Report of the Director of Resources*

### **10. RISK FOCUS REPORT – PROPERTY/FACILITIES MANAGEMENT DEPARTMENT**

*Report of the Director of Resources*

### **11. END-OF-YEAR REPORT ON THE TREASURY MANAGEMENT SERVICE AND PRUDENTIAL INDICATORS 2018/19**

*Report of the Director of Resources*

## **12. WHISTLEBLOWING ANNUAL REPORT 2018/19**

*Report of the Director of Resources*

## **13. FUTURE WORK PROGRAMME**

The Committee is invited to agree its future rolling work programme, suggested as follows:-

### **13 September 2019: 10:00AM**

- Annual Audit Letter 2018/19
- Audit Plan Progress Report
- Risk Management Update Report
- Risk Focus Report

### **6 December 2019: 10:00AM**

- SAFS Progress Report on Anti-Fraud Plan
- Mid-Year Report on Treasury Management Service / Prudential Indicators
- HFRS Annual Statement of Assurance
- Audit Plan Progress Report
- Risk Management Update
- Risk Focus Report

## **14. OTHER PART I BUSINESS**

Such other part I (public) business which the Chairman agrees is of sufficient urgency to warrant consideration.

## **PART II ('CLOSED AGENDA')**

### **EXCLUSION OF PRESS AND PUBLIC**

The Chairman will move:-

*“That under Section 100(A)(4) of the Local Government Act 1972, the press and public be excluded from the meeting for the following items of business on the grounds that they/it involve/s the likely disclosure of exempt information as defined in paragraph/s.....of Part 1 of Schedule 12A to the said Act and the public interest in maintaining the exemption outweighs the public interest in disclosing the information.”*

**If you require further information about this agenda please contact Stephanie Tarrant, Democratic Services, on telephone no (01992) 555481 number or email [stephanie.tarrant@hertfordshire.gov.uk](mailto:stephanie.tarrant@hertfordshire.gov.uk).**

Agenda documents are also available on the internet at <https://democracy.hertfordshire.gov.uk/mgCalendarMonthView.aspx>

**QUENTIN BAKER  
CHIEF LEGAL OFFICER**